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# TRANSMITTAL FORM

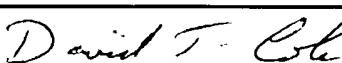
(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	<b>Application Number</b>	10/063,803	
	<b>Filing Date</b>	05/15/2002	
	<b>First Named Inventor</b>	Honeyman	
	<b>Group Art Unit</b>	1741	
	<b>Examiner Name</b>		
<b>Total Number of Pages in This Submission</b>	<b>14</b>	<b>Attorney Docket Number</b>	H-307

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  Withdrawal of claim of small entity status
Remarks		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	David J. Cole
Signature	
Date	07/19/2002

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: \_\_\_\_\_

Typed or printed name		
Signature		Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1417.00)

## Complete if Known

Application Number	10/063,803
Filing Date	05/15/2002
First Named Inventor	Honeyman
Examiner Name	
Group Art Unit	1741
Attorney Docket No.	H-307

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:Deposit Account Number  
501162Deposit Account Name  
E Ink Corporation Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17 Applicant claims small entity status.  
See 37 CFR 1.272.  Payment Enclosed: Check  Credit card  Money Order  Other

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Fee Code (\$)	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65 Surcharge - late filing fee or oath	130.00
127	50	227	25 Surcharge - late provisional filing fee or cover sheet	
139	130	139	130 Non-English specification	
147	2,520	147	2,520 For filing a request for ex parte reexamination	
112	920*	112	920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action	
115	110	215	55 Extension for reply within first month	
116	400	216	200 Extension for reply within second month	
117	920	217	460 Extension for reply within third month	
118	1,440	218	720 Extension for reply within fourth month	
128	1,960	228	980 Extension for reply within fifth month	
119	320	219	160 Notice of Appeal	
120	320	220	160 Filing a brief in support of an appeal	
121	280	221	140 Request for oral hearing	
138	1,510	138	1,510 Petition to institute a public use proceeding	
140	110	240	55 Petition to revive - unavoidable	
141	1,280	241	640 Petition to revive - unintentional	
142	1,280	242	640 Utility issue fee (or reissue)	
143	460	243	230 Design issue fee	
144	620	244	310 Plant issue fee	
122	130	122	130 Petitions to the Commissioner	
123	50	123	50 Processing fee under 37 CFR 1.17(q)	
126	180	126	180 Submission of Information Disclosure Stmt	
581	40	581	40 Recording each patent assignment per property (times number of properties)	
146	740	246	370 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370 For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370 Request for Continued Examination (RCE)	
169	900	169	900 Request for expedited examination of a design application	
Other fee (specify) <b>Fee deficiency</b>				1287.00

SUBTOTAL (1) (\$)

## 2. EXTRA CLAIM FEES

Total Claims	<input type="text"/>	Extra Claims	<input type="text"/>	Fee from below	<input type="text"/>	Fee Paid	<input type="text"/>
Independent Claims	<input type="text"/>	-20** =	<input type="text"/>	X	<input type="text"/>	=	<input type="text"/>
Multiple Dependent	<input type="text"/>	- 3** =	<input type="text"/>	X	<input type="text"/>	=	<input type="text"/>

## Large Entity Small Entity

Fee Code (\$)	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description
103	18	203	9 Claims in excess of 20
102	84	202	42 Independent claims in excess of 3
104	280	204	140 Multiple dependent claim, if not paid
109	84	209	42 ** Reissue Independent claims over original patent
110	18	210	9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 1417.00)

## SUBMITTED BY

Name (Print/Type)	David J. Cole	Registration No. (Attorney/Agent)	29629	Telephone	617-499-6069
Signature	<i>David J. Cole</i>			Date	07/19/2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

Received from &lt; &gt; at 7/19/02 3:26:10 PM [Eastern Daylight Time]



### Certificate of Transmission under 37 CFR 1.8

I hereby certify that these papers (Certificate of Transmission under 37 CFR 1.8, Transmittal Form (PTO/SB/21), Fee Transmittal Form (PTO/SB/17), Response to Notice to File Missing Parts, Declaration (2 copies of 3 sheets), Copy of Notice to File Missing Parts, and Withdrawal of Claim to Small Entity Status) are being facsimile transmitted to the United States Patent and Trademark Office on facsimile number 703-746-9195 on July 19, 2002 (Total 15 pages, including this Certificate).

A handwritten signature in cursive ink that appears to read "David J. Cole".

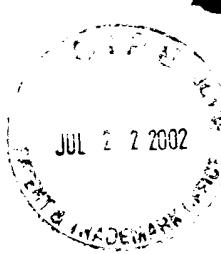
Signature

David J. Cole

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

JUL 22 2002



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Group Art Unit:** Not yet known  
**Application of:** Honeyman et al.  
**Serial No.:** 10/063,803  
**Filed:** May 15, 2002  
**For:** ELECTROPHORETIC PARTICLES AND  
PROCESSES FOR THE PRODUCTION THEREOF

Cambridge, Massachusetts  
July 19, 2002

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**

Box Missing Parts  
Assistant Commissioner for Patents  
Washington DC 20231

*Via facsimile to 703-746-9195*

Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application, issued May 29, 2002 in connection with the above application, applicants are filing herewith a Declaration signed by the inventors, and a Fee Transmittal (Form PTO/SB/17) authorizing the charging of the surcharge required by 37 CFR 1.16(e) to the assignee's Deposit Account. The duplicate copy of the Notice also accompanies this filing. Since the claim to small entity form is being withdrawn (see the Withdrawal of Claim to Small Entity Status under 37 CFR 1.28(c) and Payment of Fee Deficiency filed herewith), the surcharge is being paid in the amount applicable for a large entity.

Because one of the inventors is no longer an employee of E Ink Corporation, it was necessary to execute the enclosed Declaration in two separate copies. However, based upon previous experience, the undersigned attorney assumes that this will not be objectionable to the Office.

*Honeyman et al.*  
Serial No. 10/063,803  
Response to Notice to File Missing Parts  
Page 2

JUL 2 2 2002

Respectfully submitted

*David J. Cole*

David J. Cole  
Registration No. 29629

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UNITED STATES PATENT AND TRADEMARK OFFICE

JUL 2 2002

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/063,803	05/15/2002	Charles H. Honeyman	H-307

CONFIRMATION NO. 2740

26245  
DAVID J COLE  
E INK CORPORATION  
733 CONCORD AVE  
CAMBRIDGE, MA 02138-1002

FORMALITIES LETTER



\*OC00000008198304\*

Date Mailed: 05/29/2002

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

*Filing Date Granted*

**Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

**Items Required To Avoid Processing Delays:**

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$65** for a Small Entity

- \$65 Late oath or declaration Surcharge.

*A copy of this notice **MUST** be returned with the reply.*

07/30/2002 HMDHMM1 00000001 501162 10063803

01 FC:205 65.00 CH

Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 1 - ATTORNEY/APPLICANT COPY